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| FORM PTO-1449 | SERIAL NO. 10/501,406 | CASE NO. 10808/145 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE January 7, 2005 | GROUP ART UNIT 2814 |
| (use several sheets if necessary) | APPLICANT(S): Franz Schuler, et al. | |

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|----|--|------------|------------------|--------------------|----------------|
| | B1 | US 2002/0084484 A1 | 07/04/2002 | Kurihara, et al. | 257/315 | 03/01/2002 |
| | B2 | US 2004/0097037 A1 | 05/20/2004 | Hofmann, et al. | 438/257 | 11/12/2003 |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER <small>Number-Kind Code (if known)</small> | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES OR NO |
|---------------------|----|--|------------|---------|--------------------|--------------------------|
| | B3 | WO 01/18878 A1 | 03/15/2001 | WIPO | H01L 29/788 | Yes |
| | B4 | EP 1 170 800 A2 | 01/09/2002 | EPO | H01L 27/115 | No |
| | B5 | WO 02/11145 A2 | 02/07/2002 | WIPO | G11C | Yes |
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| EXAMINER INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published. | |
|---------------------|--|--|
| | B6 | Office Action issued in European counterpart application number 02 791 621.2-2203, 7 pages dated March 12, 2008. |
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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.